HS2 PHASE ONE
SAFEGUARDING
DIRECTIONS
With guidance notes for local planning authorities

June 2014
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High Speed Two (HS2) Limited has been tasked by the Department for Transport (DfT) with managing the delivery of a new national high speed rail network. It is a non-departmental public body wholly owned by the DfT.

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Safeguarding Directions for development affecting the route and associated works for the HS2 rail project London-West Midlands

The Secretary of State for Transport gives the following directions to the local planning authorities named in the Schedule in exercise of the powers conferred by articles 16(4), 25(1) and 29(6) of the Town and Country Planning (Development Management Procedure)(England) Order 2010¹ (“the Order”).

Commencement

1. These Directions come into force on 26 June 2014 (“the commencement date”).

Application

2. These Directions apply in respect of any application for planning permission which:
   (a) has not been finally determined by the commencement date;
   
   (b) relates to development within the zone specified in paragraph 3; and
   
   (c) is not an exempt application by virtue of paragraph 4.

Relevant zone

3. The zone referred to in paragraph 2(b) is the zone shown bounded by lines marked “Limits of Land subject to Safeguarding Direction” on the plans annexed to these Directions (being the zone relating to the route of a railway proposed to be constructed between London and the West Midlands).

Exempt applications

4. An application is exempt for the purposes of paragraph 2(c) if it is an application for planning permission which relates to development that:
   
   (a) lies within the zone shown on the plans referred to in paragraph 3 and is not shown shaded on those plans; 
   
   (b) consists only of an alteration to a building which is a hereditament that falls within the scope of section 149(3) of the Town and Country Planning Act 1990² (both before and after the development); and
   
   (c) does not involve, or is not likely to involve, any construction, engineering or other operations below existing ground level.

¹ SI 2010/2184 made under the Town and Country Planning Act 1990 (c. 8), see in particular section 74.
² 1990 c. 8.
Duties on local planning authorities

5. Before a local planning authority may determine any planning permission in respect of any application for planning permission to which these Directions apply it must consult High Speed Two (HS2) Limited (Company No. 06791686).

6. Where a local planning authority is required by paragraph 5 to consult High Speed Two (HS2) Limited, they must not grant planning permission otherwise than to give effect to the recommendation of High Speed Two (HS2) Limited:
   (a) unless they have delivered to the Secretary of State for Transport the material specified in paragraph 7; and
   (b) until the expiry of a period of 21 days from the date on which that material was delivered to the Secretary of State.

7. The material referred to in paragraph 6 is:
   (a) a copy of the application together with a copy of any plans or documents submitted with it;
   (b) a copy of the response of High Speed Two (HS2) Limited to the consultation by the local planning authority in pursuance of paragraph 5;
   (c) such information regarding the application as the Secretary of State may require by direction under article 29(6) of the Order; and
   (d) a statement on the provisions of the development plan and other issues involved, including whether the grant of permission would be contrary to the views of another Government Department.

8. These Safeguarding Directions revoke and replace the Safeguarding Directions issued to local planning authorities on 24 October 2013 in exercise of the powers conferred by articles 16(4), 25(1) and 29(6) of the Order.

Signed by authority of the Secretary of State

Richard Buckley
A Senior Civil Servant in the Department for Transport
Schedule

Aylesbury Vale District Council
Birmingham City Council
Buckinghamshire County Council
Cherwell District Council
Chiltern District Council
Hertfordshire County Council
Lichfield District Council
London Borough of Camden
London Borough of Brent
London Borough of Ealing
London Borough of Hammersmith and Fulham
London Borough of Hillingdon
Manchester City Council
Northamptonshire County Council
North Warwickshire Borough Council
Oxfordshire County Council
Royal Borough of Kensington and Chelsea
Solihull Metropolitan Borough Council
South Buckinghamshire District Council
South Northamptonshire District Council
Stafford Borough Council
Staffordshire County Council
Stratford on Avon District Council
Three Rivers District Council
Warwickshire County Council
Warwick District Council
Westminster City Council
Wycombe District Council
Background

1. These Safeguarding Directions were issued and came into force on 26\textsuperscript{th} June 2014 under articles 16(4), 25(1) and 29(6) of the Town and Country Planning (Development Management Procedure) (England) Order 2010\textsuperscript{1} ("the Order"). They replace the previous Safeguarding Directions for Phase One of HS2 issued on 24\textsuperscript{th} October 2013 ("the October 2013 Directions").

2. Phase One is proposed to run between London Euston and the West Midlands (with a new station in central Birmingham), including a connection to the existing West Coast Main Line north of Lichfield.

3. In order to protect the planned railway route from conflicting development before construction starts, the Government has safeguarded the London to West Midlands route (Phase One) of HS2 using Safeguarding Directions, which are an established tool of the planning system designed for this purpose. Safeguarding aims to ensure that new developments along the route do not impact on the ability to build or operate HS2 or lead to excessive additional costs. The Safeguarding Directions have been issued to Local Planning Authorities ("LPAs"), by the Secretary of State for Transport. As a result LPAs need to consult High Speed Two (HS2) Limited ("HS2 Limited") with regard to any planning applications falling within paragraph 2 of the Safeguarding Directions before determining an application for planning permission.

4. The safeguarded land shown in the October 2013 Directions included the land identified at that time as being required to build and/or operate the railway. However, due to the on-going detailed design of the project those safeguarding boundaries were out of step with the latest designs for the project, as set out in the hybrid Bill which was deposited in Parliament in late November 2013.

5. Although safeguarding for the majority of Phase One was only introduced in 2013, it was based on plans which pre-dated the Environmental Statement designs. Whilst the core of the route was still protected by the Safeguarding Directions, many accompanying sites, particularly those a short distance from the line of route, fell outside the safeguarded area. The proposed Leeds and Manchester spurs were also

\textsuperscript{1} SI 2010/2184
omitted. Conversely, some areas of land were outside the land boundaries described in the hybrid Bill, but had remained safeguarded.

6. There is no formal requirement to update Safeguarding Directions; however, it is appropriate to continue to review them at periodic intervals to ensure that the right land is protected and that unrequired land is not unnecessarily blighted for extended periods and it is for this reason these new Safeguarding Directions have been issued.

**Processing of applications**

7. Applications for planning permission on which HS2 Limited must be consulted, in accordance with paragraph 5 of the Safeguarding Directions, should be sent either by email to town.planning@hs2.org.uk or by first class post to:

   The Safeguarding Planning Manager,
   High Speed Two (HS2) Limited,
   Eland House
   Bressenden Place
   London
   SW1E 5DU

   Until 28th July 2014, after which postal applications should be sent by first class post to:

   The Safeguarding Planning Manager,
   High Speed Two (HS2) Limited,
   One Canada Square,
   London
   E14 5AB

   Or by email to: town.planning@hs2.org.uk

8. Such applications must not be determined before the expiry of the period for consultation set out in article 16(5)(b) of the Order.

9. Any applications sent to HS2 Limited under paragraph 5 of these Safeguarding Directions will be considered by HS2 Limited on a case-by-case basis on the grounds of whether the proposed development will impact on the ability to build or operate HS2 or lead to excessive additional costs.

10. Where the application does not include all the information required to allow HS2 Limited to determine whether the development will impact on the ability to build or operate HS2 or lead to excessive additional costs, HS2 Limited will notify the LPA without delay setting out what additional information is needed and why.

11. In order to assist developers to design buildings that do not conflict or obstruct the route of HS2 and to avoid the possibility of a recommendation of refusal being made to the LPA by HS2 Limited under these Safeguarding Directions, HS2 Limited intends
to produce information about HS2 design criteria for the use of developers bringing forward new developments in the safeguarded area of the route of HS2.

12. Where HS2 Limited has not responded within the period set out in article 16(5)(b) of the Order, the LPA may proceed to determine that application. Where HS2 Limited has responded, the LPA will not be bound by that recommendation. However, if it does not accept the recommendation, it will be required to notify the Secretary of State for Transport under paragraph 6 of the Safeguarding Directions.

13. Where permission is refused due to a conflict with the HS2 project, the decision notice should include that conflict in the reasons for refusal. Copies of all decisions on planning applications on which HS2 Limited have been consulted should be sent to the Safeguarding Planning Manager at the above postal or email address. HS2 Limited has developed procedures for handling consultations relating to the Safeguarding Directions and may recommend that conditions are imposed in specified circumstances and where appropriate in order to protect the HS2 project.

14. Applications which LPAs are minded to approve against the advice of HS2 Limited should, in accordance with paragraph 6 of the Safeguarding Directions, be sent, together with the material specified in paragraph 7 of the Safeguarding Directions, by LPAs by first class post to:

   High Speed Rail Property Team  
   Department for Transport  
   Great Minster House  
   33 Horseferry Road  
   London SW1P 4DR

Or by email to: highspeedrail@dft.gsi.gov.uk

15. The Department for Transport will inform LPAs of the date of receipt of the application and the material required under paragraph 7 of the Safeguarding Directions, and will, within 21 days of that date, either notify the LPAs that there are no objections to permission being granted, or issue Directions restricting the granting of permission specifically for those applications.

16. Where the Department for Transport has not responded to this consultation within 21 days of receipt of the papers referred to above, the LPA may proceed to determine the application.

**Blight and Purchase Notices**

17. The provisions of the Town and Country Planning Act 1990 on blight and purchase notices will apply to property affected by safeguarding. The appropriate authority to receive purchase notices is the LPA. Blight notices should be served on the Secretary of State for Transport and sent to:
Planning and local land charges registers

18. In accordance with article 36(4)(b) of the Order, particulars of the Safeguarding Directions must be entered in Part 2 of the Register of Applications, in respect of any application for planning permission to which they apply. The Department for Transport/HS2 Limited is also of the view that the safeguarding provisions should be revealed in response to Optional Enquiries sent with requisitions for searches of the local land charges register in relation to properties within the zone described in paragraph 3 of the Safeguarding Directions.

Extant planning permissions

19. Where LPAs are aware of, or become aware of, any extant planning permissions affecting land within the zone described in paragraph 3 of the Safeguarding Directions, which have yet to be implemented, it would be helpful if they could inform HS2 Limited.

Pending planning applications

20. LPAs are reminded that the Safeguarding Directions issued on 26th June 2014 apply to any planning application that has not been finally determined by that date (and which includes cases where a resolution to grant a permission has been made by the LPA but the permission has not been formally issued) other than an application in relation to development of the exempted category specified in paragraphs 2 and 4 of the Safeguarding Directions.

21. Accordingly, LPAs must review all pending applications to determine whether the development proposed is development to which these Safeguarding Directions apply.
Local plans

22. In preparing any Development Plan Document (an area’s Local Plan), the area safeguarded by the Safeguarding Directions should be taken into account. Where Safeguarding Directions are taken into account in a Local Plan, they should be represented on the policies map (in accordance with Regulation 9 of the Town and Country Planning (Local Planning) (England) Regulations 2012² as amended).

23. Adding information about the extent of the safeguarded area on the Proposals Map is helpful for those considering development in the area, however it is important to note that the requirements of the Safeguarding Directions apply, in the circumstances described above, regardless of whether the safeguarded area is identified on the Proposals Map or not.

24. Local Plans should state that the Safeguarding Directions have been made by the Secretary of State for Transport. They are not proposals of the LPA and the routes in question will not be determined through the development plan process. They will be considered in Parliament under hybrid Bill procedures, which will provide appropriate opportunities for petitions to be made to Parliament by those directly affected by the scheme.

25. Further information on the hybrid Bill procedures can be obtained from www.parliament.uk and from the HS2 Limited enquiries line – telephone 020 7944 4908 or HS2enquiries@hs2.org.uk

26. Where the Local Plan has been submitted for independent examination, the LPA should record any representations received which relate to the Safeguarding Directions and bring these to the attention of the inspector appointed to hold the examination of a Local Plan document. The inspector’s role is to consider the policies of the LPA set out in the draft Local Plan document. If the inspector is satisfied that an objection is solely to matters covered by the Safeguarding Directions, rather than to proposals of the LPA, the inspector is unlikely to consider the objection to be relevant to consideration of the Local Plan document.

27. LPAs when adopting supplementary planning documents should note in the statement of consultation any representations received which relate to the Safeguarding Directions.

Timing

28. These Safeguarding Directions come into force on 26th June 2014 and apply in respect of the categories defined in paragraph 2 of the Safeguarding Directions.

26th June 2014

² SI 2012/767.